

# The scope of the landscape: supergravity and string vacua in 10D, 8D, 6D, and 4D

## Part III: Supergravity and string vacua in 6D

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## Supergravity in 6D

$\mathcal{N} = 1 (1, 0)$  6D SUGRA: 8 supercharges, gauge group + **matter**

6D (1, 0) multiplets:

Multiplet	Matter Content
SUGRA	$(g_{\mu\nu}, B_{\mu\nu}^+, \psi_{\mu}^-)$
Tensor (T)	$(B_{\mu\nu}^-, \phi, \chi^+)$
Vector (V)	$(A_{\mu}, \lambda^-)$
Hyper (H)	$(4\varphi, \psi^+)$

**T:**  $B$ 's transform under  $SO(1, T)$ ,  $\phi$ 's  $\rightarrow j \in SO(1, T)/SO(T)$

**V:** Semi-simple group  $G = G_1 \times G_2 \times \cdots \times G_k$  ( $/\Gamma$ ) [ignore  $U(1)$ 's]

**H:** Matter  $\mathcal{M}$  in (generally reducible) representation of  $G$

- Constraints on  $\mathcal{G}$

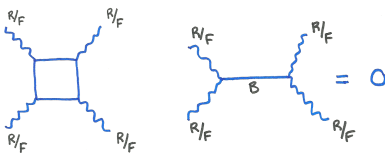
Plan:

- Intersecting brane models (IBM's) in 6D
- Heterotic  $\rightarrow$  6D (instantons) [MBM]
- F-theory  $\rightarrow$  6D ( $B =$  cpx. surface, intersections  $\rightarrow$  matter)

## Anomaly cancellation in 6D

In 6D, chiral fields  $B_{\mu\nu}^\pm, \psi_\mu^-, \lambda^-, \psi^+$  give anomalies

Anomalies characterized by 8-form  $I_8 \sim R^4 + R^2 F^2 + F^4$



can cancel by Green-Schwarz mechanism [G/S/West, Sagnotti, Sadov]

$$I_8 = \frac{1}{2} \Omega_{\alpha\beta} X_4^\alpha X_4^\beta \quad X_4^\alpha = \frac{1}{2} a^\alpha \text{tr} R^2 + \sum_i b_i^\alpha \left( \frac{2}{\lambda_i} \text{tr} F_i^2 \right)$$

$\text{sign}(\Omega) = (+, -, -, -, \dots)$ ,  $a^\alpha, b_i^\alpha \in \mathbb{R}^{1,T}$ ,  $\text{tr} \rightarrow \lambda_{SU(N)} = 1, \lambda_{E_8} = 60, \dots$

## Constraints on 6D chiral theories

**Claim:** For  $T < 9$ , only a finite set of possible  $G, \mathcal{M}$  [Kumar/Morrison/Taylor]

Primary constraint: anomaly cancellation

$$I_8 = \frac{1}{2} \Omega_{\alpha\beta} X_4^\alpha X_4^\beta \quad X_4^\alpha = \frac{1}{2} a^\alpha \text{tr} R^2 + \sum_i b_i^\alpha \left( \frac{2}{\lambda_i} \text{tr} F_i^2 \right)$$

Factorization simplifies for Lagrangian theories (one tensor)

$T = 1$  :

$$\Omega_{\alpha\beta} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad a = (-2, -2), \quad b = \frac{1}{2}(\alpha, \tilde{\alpha})$$

$$I_8 = X^1 X^2 = (\text{tr} R^2 - \sum_i \alpha_i \text{tr} F_i^2)(\text{tr} R^2 - \sum_i \tilde{\alpha}_i \text{tr} F_i^2)$$

Further constraint: physical kinetic terms [Sagnotti]

$$\text{SUSY} \rightarrow -j \cdot b \text{tr} F^2 \rightarrow j \cdot b > 0 \quad (j \cdot b = e^\phi \alpha + e^{-\phi} \tilde{\alpha} \text{ for } T = 1)$$

## Anomaly conditions for factorization:

$$I_8 = \frac{1}{2} \Omega_{\alpha\beta} \left( \frac{1}{2} a^\alpha \text{tr} R^2 + 2b_i^\alpha / \lambda_i \text{tr} F_i^2 \right) \left( \frac{1}{2} a^\beta \text{tr} R^2 + 2b_i^\beta / \lambda_i \text{tr} F_i^2 \right)$$

give relations:

$$R^4: \quad H - V = 273 - 29T$$

$$H - V \sim \text{partition bound}$$

$$F^4: \quad 0 = B_{Adj}^i - \sum_R x_R^i B_R^i$$

$$(R^2)^2: \quad a \cdot a = 9 - T$$

$A_R, B_R, C_R$  from expanding :

$$F^2 R^2: \quad a \cdot b_i = \frac{1}{6} \lambda_i \left( A_{Adj}^i - \sum_R x_R^i A_R^i \right)$$

$$\text{tr}_R F^2 = A_R \text{tr} F^2$$

$$(F^2)^2: \quad b_i \cdot b_i = \frac{1}{3} \lambda_i^2 \left( \sum_R x_R^i C_R^i - C_{Adj}^i \right)$$

$$\text{tr}_R F^4 = B_R \text{tr} F^4 + C_R (\text{tr} F^2)^2$$

$$F_i^2 F_j^2: \quad b_i \cdot b_j = 2 \sum_{R,S} x_{RS}^{ij} A_R^i A_S^j$$

( $x$ 's: #'s of charged  $H$ 's)

Remarkable fact:  $a \cdot a, a \cdot b_i, b_i \cdot b_i, b_i \cdot b_j \in \mathbb{Z}$  if no local/global anomalies.

Defines integral lattice  $\Lambda \subset \mathbb{R}^{1,T}$  [KMT]

## Proof of finite possible $\mathcal{G}, \mathcal{M}$ in consistent 6D SUGRA's with $T < 9$

For fixed  $G, T$ , finite possible  $\mathcal{M}$  from  $H - V = 273 - 29T$ .

So must have unbounded  $G$ , prove impossible by contradiction

Case 1:  $\{G = G_1 \times \cdots \times G_k\}$ ,  $|G_i| \leq D$ ,  $k \rightarrow \infty$

Use  $(1, T)$  geometry, bound on matter:  $H \leq 273 - 29T + kD$ ;

classify  $\begin{cases} b^2 > 0 : \text{P} \\ b^2 = 0 : \text{Z} \\ b^2 < 0 : \text{N} \end{cases}$

**P:**  $b_i = (x_i, \vec{y}_i)$ ,  $|x_i| > |\vec{y}_i| \Rightarrow b_i \cdot b_j > 0 \Rightarrow$  at most  $\mathcal{O}(\sqrt{k})$  type P's

**N:** At most  $T$  mutually orthogonal with  $b_i^2 < 0, b_i \cdot b_j = 0$

Turán's theorem: graph on  $n$  nodes with  $> (1 - 1/T)n^2/2$  edges  $\supset T$ -clique  
 $\Rightarrow$  at most  $\mathcal{O}(\sqrt{k})$  type N's

**Z:**  $b_i \cdot b_j > 0$  if not parallel,  $\rightarrow \mathcal{O}(k)$  parallel (all but  $\mathcal{O}(\ln k)$  Z's)

All Z's have positive  $H - V$  (explicit check)  $\Rightarrow$  exceed bound, contradiction  $\forall T$ .

## Case 2: $|G_1| \rightarrow \infty$

Only limited possibilities for factors of unbounded dimension

- Schwarz: Two  $T = 1$  families:  $SU(N) \times SU(N)$ ,  $SO(2N + 8) \times Sp(N)$
- Also:  $SU(N) \times SO(N + 8)$ ,  $SU(N) \times SU(N + 8)$ ,  $Sp(N) \times SU(2N + 8)$
- $T > 1$ : Three 3-factor families  $\sim SU(N - 8) \times SU(N) \times SU(N + 8)$

e.g.  $SU(N) \times SU(N)$ , matter =  $2 \times (\square, \bar{\square})$

$$a \cdot b_1 = a \cdot b_2 = 0, \quad b_1^2 = b_2^2 = -2, \quad b_1 \cdot b_2 = 2$$

Families all have **common problem for  $a^2 > 0$  ( $T < 9$ )**

$$a \cdot (b_1 + b_2) = 0 \quad \& \quad (b_1 + b_2)^2 = 0 \quad \Rightarrow \quad b_1 + b_2 = 0$$

$$\Rightarrow j \cdot b_1 = -j \cdot b_2 \Rightarrow \text{bad kinetic terms}$$

Proven finite models for  $T < 9$ ; **Proof fails at  $T \geq 9$**

What happens at  $T = 9$ ?

Infinite families with anomaly cancellation, ok kinetic terms

*e.g.* for  $SU(N) \times SU(N)$  family,  $\Omega = \text{diag}(+1, -1, -1, \dots)$ ,  $j = (1, 0, 0, \dots)$

$$a = (2, 1, 1, 1, 1, 0, 0, 0, 0, 0)$$

$$b_1 = (1, 1, 1, 0, 0, 1, 0, 0, 0, 0)$$

$$b_2 = (1, 0, 0, 1, 1, -1, 0, 0, 0, 0)$$

Summary so far:

- Each consistent 6D SUGRA  $\Rightarrow$  integral lattice  $\Lambda$
- Finite gauge group, matter combinations for  $T < 9$  (in principle denumerable)
- Infinite families at  $T \geq 9$

Analysis so far independent of string theory.  
 Which models have string realizations?

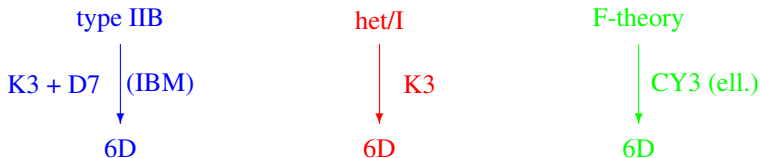


## 6D $\mathcal{N} = 1$ string vacua

6D excellent playground for developing compactification methods

- Simpler than 4D, but rich group and matter structure.
- Anomalies provide strong checks on internal consistency

Consider several approaches:



Idea of Intersecting Brane Models:

Compactify on  $X$ , wrap branes  $\Rightarrow$  gauge groups + matter

**Problem:** can't just have D-branes, since source RR fields

Need to cancel charge with SUSY *orientifolds*

## Type I string theory: IIB/ $\Omega$

$$\begin{array}{ll} \Omega \text{ reflects string} & x_L \leftrightarrow x_R \\ \text{oriented} \rightarrow \text{unoriented} & \alpha \leftrightarrow \tilde{\alpha} \end{array}$$

**Simplifies spectrum** (e.g. removes  $B_{\mu\nu}$ ;  $[\alpha_{-1}^\mu \tilde{\alpha}_{-1}^\nu - \tilde{\alpha}_{-1}^\mu \alpha_{-1}^\nu] |0\rangle \rightarrow 0$ )

Type I has D-strings +  $\tilde{B}$ , no  $B$  field

1-loop calculation  $\rightarrow$  RR tadpole [Polchinski]

$\Rightarrow$  space-filling “orientifold” O9-plane

- O9 has negative tension, negative D-brane charge (-16 D9)
- Can combine  $\Omega$ , reflection  $\sigma \Rightarrow$  lower- $D$  orientifold plane
- O-planes key in vacuum constructions; combine w/ branes for SUSY bg.

**Type I:** O9-plane + (16 D9-branes + images)/ $\Omega \rightarrow SO(32)$  group.

Duality  $\phi \rightarrow -\phi$  ( $\sim \tau \rightarrow -1/\tau$ ) : I  $\rightarrow$  het  $SO(32)$

## T-duality on D-branes and O-planes

Recall: for string compactified on circle  $S^1$  of radius  $R$ ,

given mass spectrum  $M^2 = \frac{n^2}{R^2} + \frac{m^2 R^2}{\alpha'}$ , **T-duality:  $R \leftrightarrow \alpha'/R, n \leftrightarrow m$**

	T	
IIB on $R$	$\longleftrightarrow$	IIA on $(\alpha'/R)$
$x_R$	$\longleftrightarrow$	$-x_R$
$D_p$	$\longleftrightarrow$	$D_{p\pm 1}$
$A^\mu$	$\longleftrightarrow$	$X_\mu$
$O_p$	$\longleftrightarrow$	$2^{\mp 1} O_{p\pm 1}$

Upshot: I, with O9-plane + 16 D9-branes + images

T-dual on  $T^k$  to  $2^k$  O(9-k)-planes + 16 D(9-k)-branes + images



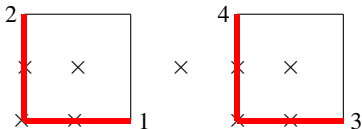
## Intersecting branes

D-branes intersecting in two planes SUSY if  $\theta_1 = -\theta_2$  (same for  $D \cap O$ )



Strings between branes  $\rightarrow$  chiral matter in bifundamental

## Orientifold on K3 (orbifold limit)



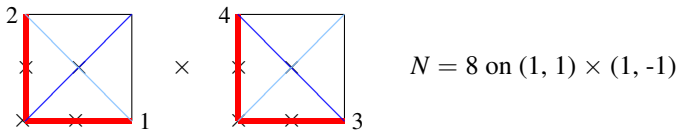
Orbifold action:  $\rho : z_i \rightarrow -z_i$

Orientifold action  $\Omega\sigma$ ,  $\sigma : z_i \rightarrow \bar{z}_i$  gives (13) O7-plane

Combined orientifold action:  $\Omega\sigma\rho \rightarrow$  second O7-plane (-24)

## Intersecting Brane Model

Given K3 orientifold, wrap D7-branes on cycles of two tori  
 $(n_1, m_1), (n_2, m_2) + \text{images } (n_1, -m_1), (n_2, -m_2)$



SUSY condition:  $m_1/n_1 = -\alpha m_2/n_2$  for all branes ( $\alpha$  modulus)

Tadpole conditions:  $\sum_a N_a n_1^a n_2^a = 8$ ,  $\sum_a (-N_a m_1^a m_2^a) = 8$

Physical content of example model:

Gauge group:  $G = SU(8)$  ( $T = 1$  from reduction)

Matter:  $D = 1$  Adjoint ( $4\varphi$  from 10D vector),

$F = 0$  fundamentals ( $\square$ ),  $A = 4$  antisymmetric ( $\square$ )

Satisfies  $F^4$  anomaly condition for  $SU(N)$ :  $F = 2N - 2ND - A(N - 8)$

## IBM systematics on K3 orbifold [a la Blumenhagen/Braun/Körs/Lüst]

D7-branes  $\rightarrow$  “bulk” cycles from  $H_2(T^4; \mathbb{Z}) = U \oplus U \oplus U; \pi_{ij} \cdot \pi_{kl} = 2\epsilon_{ijkl}$

$$\begin{aligned}\pi &= n_1 n_2 \pi_{13} + n_1 m_2 \pi_{14} + m_1 n_2 \pi_{23} + m_1 m_2 \pi_{24} \\ o_7 &= 2(\pi_{13} - \pi_{24})\end{aligned}$$

Multiplicity of matter reps.  
 (in each case  $R + \bar{R}$ )

representation	multiple
Adj	$\pi_a \cdot \pi_a + 1$
$(\square, \bar{\square})$	$\pi_a \cdot \pi_b$
$(\square, \square)$	$\pi_a \cdot \pi'_b$
$\begin{array}{ c } \hline \square \\ \hline a \end{array}$	$\frac{1}{2}(\pi_a \cdot \pi'_a + \pi_a \cdot o_7)$
$\begin{array}{ c c } \hline \square & \square \\ \hline & a \end{array}$	$\frac{1}{2}(\pi_a \cdot \pi'_a - \pi_a \cdot o_7)$

Combinatorial range of models limited;  $\ll$  anomaly-free set

More sophisticated models include  $e$  cycles, fractional cycles  
 [BBKL  $\sim$  Gimon/Polchinski]

Description away from orbifold K3 more difficult

## 6D heterotic vacua on K3



Models all have  $T = 1$  from 10D  $B_{\mu\nu}$

Recall from 10D  $H = dB - \omega_Y + \omega_R$

$\Rightarrow$  Bianchi identity  $dH = \text{tr}R \wedge R - \text{Tr}F \wedge F$

on K3  $\rightarrow$  nonzero instanton number

$$\int_S c_2(F) = \frac{1}{8\pi^2} \int_S \text{Tr}F \wedge F = \frac{1}{16\pi^2} \int_S \text{Tr}R \wedge R = -\frac{1}{2} \int_S p_1(R) = 24$$

So need gauge field configuration with instanton number 24 (and  $c_1 = 0$ )

## Special case: “magnetized brane models”

Have learned want  $SO(32)$  or  $E_8 \times E_8$  w/instanton # 24 on K3.

$SO(32)$  with  $U(1)$  fluxes  $F$  on 2-cycles

= “Magnetized branes” in type I language

”T-dual” to IBM ( $F = \partial A \leftrightarrow \partial X$  under T-duality)

In type I language:

$F^2$  on D9-brane carries D5-brane charge from  $\int F \wedge F \wedge \hat{C}_6$  ( $d\hat{C}_6 = *d\tilde{B}_2$ )  
 SUSY  $\rightarrow$  analogous coupling to  $R^2$  for D-branes, O-planes

O9  $\rightarrow$  16 D9’s + images

each D9 on K3  $\rightarrow$  -1 D5-brane charge

O9 on K3  $\rightarrow$  -8 D5-brane charges

$\Rightarrow$  need 24 D5-branes = instantons  $\int F \wedge F$

- Can study on general K3

- Was essentially first class of  $\mathcal{N} = 1$  6D theories studied [Green/Schwarz/West]



## Complex structure and Kähler moduli of K3

Complex structure  $\Omega \in H^{2,0}(S) \subset H^2(S)$  fixed up to scale on cpx. surface  $S$  satisfies  $\int \Omega \wedge \Omega = 0$ ,  $\int \Omega \wedge \bar{\Omega} \propto \text{Vol}(S) > 0$

Writing  $\Omega = x + iy$ ,  $x \cdot y = 0$ ,  $x \cdot x = y \cdot y > 0$ .

Kähler form  $J \in H^{1,1}(S)$

$$\int J \wedge \Omega = 0 \Rightarrow J \cdot x = J \cdot y = 0$$

$$\int J \wedge J \propto \text{Vol}(S) > 0 \Rightarrow J \cdot J > 0$$

Thus,  $(x, y, J)$  define a positive-definite 3-plane in  $H^2(S; \mathbb{R}) = \mathbb{R}^{3,19}$

## Constraints on fluxes

- Fluxes quantized, normalize  $F = 2\pi if \rightarrow f \cdot f \in 2\mathbb{Z}$ ,  $f \in H^2(K3, \mathbb{Z}) = \Gamma^{3,19}$
- Consider  $N_a$  fluxes  $f_a$ ; on 16 U(1)'s in  $SO(32)$ ,  $\sim$  16 branes +  $(-f)$  on images

$$F = \begin{pmatrix} \left. \begin{matrix} f_1 & & & \\ & \ddots & & \\ & & f_1 & \\ & & & f_2 \end{matrix} \right\}^{N_1} & & & 0 \\ & & & \left. \begin{matrix} & & & \\ & & & \\ & & & \\ & & & f_2 \end{matrix} \right\}^{N_2} \\ 0 & & & \ddots \end{pmatrix}$$

Tadpole constraint :  $\frac{1}{8\pi^2} \int_s \text{Tr} F \wedge F = 24 \Rightarrow \sum_a N_a f_a \cdot f_a = -24$

SUSY constraints :  $\int f^a \wedge \Omega = 0 \quad \int f^a \wedge \bar{\Omega} = 0 \quad \int f^a \wedge J = 0$

$\Lambda$  negative definite  $\Rightarrow \exists \Omega, J$  giving SUSY (pos. def. 3-plane in  $\Gamma^{3,19}$ )

Fluxes  $\{f_a\}$  generate even lattice  $\Lambda \subset \Gamma^{3,19}$  characterizing theory

Gauge group:

$$G = U(N_1) \times U(N_2) \times \cdots \times U(N_K) \times SO(32 - 2 \sum_a N_a)$$

- [Technical points: 1) Some  $U(1)$ 's anomalous  $\rightarrow$  massive,  
 2)  $G$  may be enhanced when  $J \cdot f = 0, f^2 = -2$ ]

Matter content: depends only on  $N_a, m_{ab} = f_a \cdot f_b$

Rep. (+ c.c.)	# hypermultiplets
Adjoint $U(N_a)$	1
$(N_a, \bar{N}_b)$	$(-2 - (f_a - f_b)^2)$
$(N_a, N_b)$	$(-2 - (f_a + f_b)^2)$
Antisym. $U(N_a)$	$(-2 - 4f_a^2)$
$(N_a, 2M)$	$(-2 - f_a^2)$
Neutral	20

Anomalies:  $F^4, R^4$  cancel (e.g.  $n_H - n_V + 29n_T = 273$ ) [Green/Schwarz/West]

## Classification of K3 magnetized brane models

Criterion for existence of model, given spectrum  $\Leftrightarrow N_a, m_{ab}$ :

Must exist lattice embedding  $\Lambda(m) \rightarrow \Gamma^{3,19}$

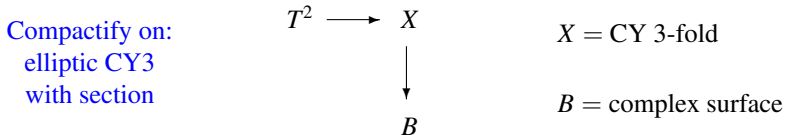
Using Nikulin embedding theorems:

Embedding always possible, generally unique [Kumar/Taylor]

Gives (almost) all anomaly-free models w/  $SO(2M) \times SU(N_1) \times \cdots \times SU(N_K)$

- One class of exceptions:  $SO(8) \times SU(24)$  with  $3 \times \square_{24} + \text{relatives}$
- Can generalize approach to  $E_8 \times E_8$
- More general models from nonabelian bundles [Friedman/Morgan/Witten, ...]
- Models connected by moduli, including different  $G$  through Higgsing
- Still limited: Fixed at  $T = 1$  for smooth solutions, limited matter reps.  
Note: misses  $SU(8)$  IBM despite heuristic “T-duality”  
(include singularities, singular instantons = 5-branes  $\rightarrow$  more general)

## F-theory in 6D



**Canonical class of  $B$ :**  $K = T^* \wedge T^* \in H^2(B)$  ( $\sim dz_1 \wedge dz_2$ )

Canonical class  $\sim$  measure of total curvature

For CY:  $K_{\text{CY}} = 0$ . (Kähler +  $K = 0 \leftrightarrow \text{CY}$ )

**Divisor:**  $\mathbb{Z}$ -linear combination of irreducible algebraic hypersurfaces  $\sum_i n_i H_i$

Discriminant locus  $\Delta =$  vanishing locus of  $4f^3 + 27g^2$  is divisor

Kodaira condition for  $X = \text{CY}$  ( $K_X = 0$ )

$$-12K = \Delta$$

(Example:  $\mathbb{P}^1$ ,  $K_{\mathbb{P}^1} = c_1(T^*) = -2H$ ;  $-12K_{\mathbb{P}^1} = \Delta = 24H$  [ $H = \text{pt.}$ ])

## Codimension 1 singularities and gauge symmetries

Effective divisor: divisor  $\sum_i n_i H_i, n_i \geq 0$

Irreducible effective divisor:

associated with irreducible hypersurface

Discriminant locus  $\Delta =$  effective divisor

Irreducible components  $\rightarrow$  gauge group factors

$$\Delta = \sum_i \nu_i \xi_i + Y$$

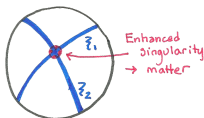
$\xi_i \rightarrow G_i$  through Kodaira/Tate classification

$\nu_i =$  multiplicity (e.g.  $\nu = N$  for  $SU(N)$ ,  $\nu = 10$  for  $E_8$ , etc.)

$Y =$  residual divisor locus (no nonabelian structure)

## Codimension 2 singularities and matter representations

At codimension 2 loci, singularities worsen  $\rightarrow$  matter



**Example:**  $A_{n-1}(\xi_1 \sim z^n) \cap A_{m-1}(\xi_2 \sim w^m)$  at  $A_{n+m-1}$  ( $\text{ord}(\Delta) = n + m$ )

$\Rightarrow$  bifundamental  $(\square_n, \bar{\square}_m)$

$\sim$  decomposition of adjoint of  $SU(n+m)$  (but no actual enhanced symmetry)

- Like IBM but more general,

*e.g.*  $\Rightarrow (\square_3, \square_2, \square_4)$ .

- Some matter not from localized singularities ( $\# \text{ Adj}'s = \text{genus } g$ )
- Possible codimension 2 singularities not completely classified

## Bases for elliptic fibrations of CY 3-folds [Morrison/Vafa]

If  $B$  smooth,  $K \neq 0$ , then  $B = \mathbb{P}^2, \mathbb{F}_m$  or blow-up thereof

- Follows from minimal surface theory
- For  $B = K3$ , Enriques surface,  $K = 0$  so  $\Delta = 0$ , higher SUSY.
- $\mathbb{P}^2$ : divisors  $nH$ ,  $H^2 = 1$ ; canonical class  $-K = 3H$ ;  $K^2 = 9$
- No systematic classification of  $B$ 's after many blow-ups


Hirzebruch surfaces  $\mathbb{F}_m$ :

$\mathbb{F}_m = \mathbb{P}^1$  bundle over  $\mathbb{P}^1$ , like compactifying line bundle w/  $c_1 = -m$

Examples:  $\mathbb{F}_0 = \mathbb{P}^1 \times \mathbb{P}^1$ ,  $\mathbb{F}_1 = \text{blow-up of } \mathbb{P}^2$ ,  $\mathbb{F}_2 = \text{cpt}(T^*\mathbb{P}^1)$

Basis for divisors:

$$D_v = \text{section}, D_v^2 = -m \quad (\text{e.g. } D_v^2 = -2 \text{ for } \mathbb{F}_2)$$

$$D_s = \text{fiber}, D_s^2 = 0, D_s \cdot D_v = 1$$


Irreducible effective divisors:  $aD_v + bD_s$ ,  $b \geq ma$

Canonical class:  $-K = 2D_v + (2 + m)D_s$  ( $K^2 = 8$ ); (each blow-up  $\rightarrow -1$ )



## 6D F-theory compactifications: Examples

- $SU(N)$  on  $\mathbb{F}_2$  ( $-K = 2D_v + 4D_s$ ,  $\Delta = 24D_v + 48D_s$ )

Wrap on  $N \times \xi$ ,  $\xi = D_v$  ( $\Rightarrow Y = (24 - N)D_v + 48D_s$ )

Matter: # fundamental's  $\xi \cdot Y = 2N$  anomalies ok

(no adjoints:  $2g - 2 = \xi \cdot \xi + K \cdot \xi = D_v \cdot (-4D_s - D_v) = 2$ )

- $E_7 \times E_8$  on  $\mathbb{F}_{12}$

$E_8$  on  $D_v$ ,  $E_7$  on  $D_u = D_v + 12D_s$

No bifundamental matter since  $D_v \cdot D_u = 0$ .

More generally: heterotic  $E_8 \times E_8$ ,  $(12 + n, 12 - n)$  instantons  $\rightarrow \mathbb{F}_n$

## Big groups [Aspinwall/Morrison]

$$G = E_8^{17} \times F_4^{16} \times G_2^{32} \times SU(2)^{32} \quad (T = 192)$$

(heterotic dual: all instantons on a singularity)

How to organize the large space of possibilities?

Can systematically understand from constrained set of low-energy theories

## Identifying topological F-theory data from SUGRA structure

$T = h_{1,1}(B) - 1$ ;  $K^2 = 9 - T$ . Each factor  $G_i$  maps to singular divisor  $\xi_i$

Matching intersections [Sadov, Grassi-Morrison]

$$\begin{aligned} -a \cdot b &= -K \cdot \xi_i \\ b_i \cdot b_j &= \xi_i \cdot \xi_j \end{aligned}$$

motivates Mapping from low-energy data to F-theory [KMT]

$$\begin{aligned} a &\rightarrow K & \Lambda &\hookrightarrow H_2(B; \mathbb{Z}) \\ b_i &\rightarrow \xi_i & j &\rightarrow J \end{aligned}$$

Explicit mapping for  $T = 1$ :

$$b = \frac{1}{2}(\alpha, \tilde{\alpha}) \rightarrow \xi = \frac{\alpha}{2}(D_v + \frac{m}{2}D_s) + \frac{\tilde{\alpha}}{2}D_s.$$

Agrees with  $-a = (2, 2) \rightarrow -K = 2D_v + (m + 2)D_s$ .

## Examples: 6D supergravity and F-theory images

Map  $\Lambda \rightarrow H_2(B; \mathbb{Z})$  determines topological F-theory data (not nec. unique)  
 Must check data is topologically ok, Weierstrass.

- $T = 1 : SU(N)$  with  $2N$  fundamentals

$$b = \frac{1}{2}(\alpha, \tilde{\alpha}) = (1, -1) \rightarrow D_v + (m/2 - 1)D_s$$

only effective divisor if  $m = 2$ , where  $b \rightarrow \xi = D_v$ .

- There are 68,997  $T = 1$  models with  $G = \prod_i SU(N_i > 2)$ , matter  $\square, \begin{smallmatrix} \square \\ \square \end{smallmatrix}, \square \times \bar{\square}$

–all seem topologically consistent with F-theory on  $\mathbb{F}_{0,1,2}$

–identified some Weierstrass models

–# DOF =  $273 - 29 = 244$ ; imposing  $\mathcal{G}, \mathcal{M} \Rightarrow H - V$  constraints  
 seems plausible all admit Weierstrass models

- Exotic matter representations  $\rightarrow$  unknown F-theory singularities ( $T = 1$ )

– e.g.  $\square + 3 \begin{smallmatrix} \square \\ \square \end{smallmatrix} + 2 \begin{smallmatrix} \square \\ \square \\ \square \end{smallmatrix} + \begin{smallmatrix} \square \\ \square \\ \square \\ \square \end{smallmatrix}, \quad H - V = 243$

Some  $\mathcal{G}, \mathcal{M}$  topologically inconsistent w/F-theory (rare at  $T = 1$ )

– e.g.  $SU(4)$  with 1 adjoint,  $10 \times \square\square + 40 \times \square$ :

$$\Lambda = \begin{pmatrix} 8 & 10 \\ 10 & 10 \end{pmatrix} \quad \text{admits no unimodular embedding}$$

– e.g.  $SO(8) \times SU(24)$ , embedding ok but **divisors not both effective**

Infinite families revisited

Recall infinite  $SU(N) \times SU(N)$  family at  $T = 9$

$$a \cdot (b_1 + b_2) = 0 \quad \& \quad (b_1 + b_2)^2 = 0$$

When  $a^2 = 0$ , this implies  $b_1 + b_2 = na$ .  $a$  primitive  $\rightarrow n \in \mathbb{Z}$ .

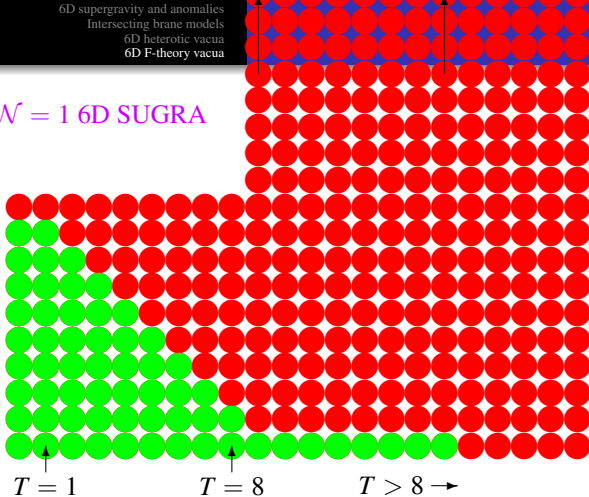
Kodaira constraint  $\rightarrow j \cdot Y = j \cdot (12a - N(b_1 + b_2)) > 0$

limits  $N \leq 12$  in F-theory

$[N = 8: \text{Dabholkar/Park}]$ .

## Global picture of $\mathcal{N} = 1$ 6D SUGRA

(cartoon)



- Each  $\mathcal{G}, \mathcal{M} \rightarrow$  continuous (quaternionic) moduli space
- Connected by Higgs/small instanton/massless string transitions  
 [Witten, Duff/Minasian/Witten, Seiberg/Witten, Morrison/Vafa, KMT III]
- Can we find new consistency conditions, perhaps from F-theory?
- Can we chart regions, connect  $\rightarrow$  one theory; string universality?

## Summary + Conclusions

- 11D, 10D: Consistent SUGRA ( $\mathcal{G}$ ) = SUSY string vacua ( $\mathcal{V}$ )
- 8D:  $\mathcal{V}$  from het = F-theory  $\ll \mathcal{G}$ : new constraints?
- 6D: Have general sense of  $\mathcal{G}$ ,  $\mathcal{V}$ : new constraints/vacua?
- 4D: Understanding only in local patches
- String theory strongly constrains low-energy physics
- Some (all?) of these constraints are visible in low-energy theory
- Vacuum constructions more complicated in lower dimension
- Fitting into global picture in 4D a challenge
- 6D a good place to learn about constructions, global picture